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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

02964.P004

First Named Inventor:

Anand Narasimhan

Title

SCALABLE ARCHITECTURE FOR TRANSMISSION OF MESSAGES OVER A

Express Mail Label No.

EM020278592US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification [Total Pages 19]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 CFR 113) [Total Sheets 5]

4. Oath or Declaration [Total Pages 3]

- a. ☒ Newly executed (original copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. ☐ *Small Entity ☐ Statement filed in prior application, Statement(s) ☐ Status still proper and desired

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Other: -Appendix I (22 pages)

17: If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application Information: Examiner _____ Group/Art Unit: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number of Bar Code Label

(Insert Customer No. or Attach bare code label here)

or ☒ Correspondence address below

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Signature

Date

6/12/98

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.28 and 1.28

TOTAL AMOUNT OF PAYMENT (\$) 830.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Anand Narasimhan, et al.
Examiner Name	
Group Art Unit	
Attorney Docket Number	02964.P004

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance.

2. ☒ Payment Enclosed:

- ☒ Check ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	130 205	65 Surcharge - late filing fee or oath	
127	50 227	25 Surcharge - late provisional filing fee or cover sheet	
139	130 139	130 Non-English specification	
147	2,520 147	2,520 For filing a request for reexamination	
112	920 112	920 Requesting publication of SIR prior to Examiner action	
113	1,840 113	1,840 Requesting publication of SIR after Examiner action	
115	110 215	55 Extension for response within first month	
116	400 216	200 Extension for response within second month	
117	950 217	475 Extension for response within third month	
118	1,510 218	755 Extension for response within fourth month	
<input checked="" type="checkbox"/> 128	2,060 228	1,030 Extension for response within fifth month	
119	310 219	155 Notice of Appeal	
120	310 220	155 Filing a brief in support of an appeal	
121	270 221	135 Request for oral hearing	
138	1,510 138	1,510 Petition to institute a public use proceeding	
140	110 240	55 Petition to revive - unavoidably	
141	1,320 241	660 Petition to revive - unintentionally	
142	1,320 242	660 Utility issue fee (or reissue)	
143	450 243	225 Design issue fee	
144	670 244	335 Plant issue fee	
122	130 122	130 Petitions to the Commissioner	
123	50 123	50 Petitions related to provisional applications	
126	240 126	240 Submission of Information Disclosure Stmt	
581	40 581	40 Recording each patent assignment per property (times number of properties)	40
146	790 246	395 Filing a submission after final rejection (37 CFR 1.129(a))	
149	790 249	395 For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			

FEE CALCULATION (fees effective 10/01/96)

1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	790 201	395 Utility filing fee	\$790
106	330 206	165 Design filing fee	
107	540 207	270 Plant filing fee	
108	790 208	395 Reissue filing fee	
114	150 214	75 Provisional filing fee	

SUBTOTAL (1) (\$) 790.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
1	-20** = 0	X \$22.00 =	0.00
1	-3** = 0	X \$82.00 =	0.00
Multiple Dependent			

**or number of previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code	Fee Code	Fee Description	Fee Paid
103	22 203	11 Claims in excess of 20	
102	82 202	41 Independent claims in excess of 3	
104	270 204	135 Multiple Dependent claim	
109	82 209	41 **Reissue independent claims over original patent	
110	22 210	11 **Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0.00

SUBTOTAL (3) (\$) 40.00

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name

Eric S. Hyman, Reg. No. 30,139

Signature

Eric S. Hyman

Date

11/12/97

Complete (if applicable)

Reg. Number

Deposit Account User ID

02-2666